STATE OF CONNECTICUT
PUBLIC UTILITIES REGULATORY AUTHORITY
OFFICE OF EDUCATION, OUTREACH & ENFORCEMENT

August 6, 2026

Mitchell Ingerman
Aurora Energy Advisors, LLC
Suite 900
27 Dawnwood Lane
Sagaponack, NY 11962

Re: Docket No. 26-07-09 – Application for a Connecticut Electric Aggregator Certificate of Registration - Aurora Energy Advisors, LLC

Enclosed are interrogatories EOE-1 through EOE-11 directed to Aurora Energy Advisors, LLC. The responses to these questions have been deemed necessary by the Public Utilities Regulatory Authority (Authority) Office of Education, Outreach, and Enforcement Unit (EOE) in connection with the above referenced docket and will become a part of the record in this proceeding. Please note that persons responsible for responses must be available for cross-examination during a hearing, if held, and must attest to the truth and accuracy of their responses.

Please submit the responses to the Authority's Executive Secretary no later than **noon on August 20, 2026**. EOE encourages the submission of responses as soon as they are available rather than delaying until all answers are completed. If a response to any of the requested information has already been filed in response to another interrogatory response in this proceeding, please reference the interrogatory which provides the information. It is the respondent's responsibility to ensure that any such information is on file with the Executive Secretary of the Authority.

EOE requests that all interrogatory responses be included in the same document, rather than responses to each interrogatory being filed as individual documents.

Anyone filing material with the Authority should file only an electronic copy through the PURA Filing System. Please refer to Electronic Filing on the Authority's website for more information. **Paper filings will be accepted only if a person is unable to file electronically. The Authority reserves the right to request paper copies of certain filings at a later date.** No confidential material may be filed publicly through the web filing system. Confidential material can be filed electronically by email using the following directions: confidential material must be emailed directly to **Jeff.Gaudiosi@ct.gov** in an

email with a subject line labeled in all capital letters “CONFIDENTIAL MATERIAL - NOT FOR PUBLIC DISCLOSURE.” Each page of any electronic confidential information shall also contain a header “CONFIDENTIAL – NOT FOR PUBLIC DISCLOSURE.” The motion for a protective order shall be filed publicly.

The Authority operates with the strong presumption that all documents filed with it are public records subject to the right of public inspection and copying in accordance with the Connecticut Freedom of Information Act, Conn. Gen. Stat. § 1-200 et. seq. In those exceptional circumstances where a participant believes that information it intends to file is confidential under law, it may file a Motion for a Protective Order requesting an exemption from public disclosure. The Motion must provide specific legal arguments with reference to state or federal law describing with supporting facts why the information should be kept confidential. A certified affidavit supplied by a competent witness in support of the Motion, a proposed protective order and nondisclosure agreement must be appended to the Motion. The Authority will rule on the Motion forthwith, and give further direction as necessary. Contact the Authority’s Executive Secretary at (860) 827-1553 with questions regarding making a confidential filing.

The current service list for this docket is available on the Authority's website located at www.dpuc.state.ct.us/DPUCservlist.html.

Sincerely,

PUBLIC UTILITIES REGULATORY AUTHORITY
Office of Education, Outreach, and Enforcement

Enclosure
cc: Service List

Interrogatories EOE-1 through EOE-11 are directed to Aurora Energy Advisors, LLC. (Aurora or Company):

EOE-1. Explain the specific circumstances that occurred where the Company decided to withdraw its prior application (i.e., Docket No. 23-10-04) and identify what has changed that resulted in the current application. If any suppliers informed the Company that it had to file the current application, provide all correspondence from all suppliers to that effect.

EOE-2. Does Aurora currently have any customers in Connecticut? If so, please indicate which suppliers serve those customers, in what capacity Aurora served those customers, by what method Aurora contacted/enrolled those customers and if those customers are commercial or residential.

EOE-3. Refer to Exhibit A-9. Please provide the full resume of the referenced officer and director.

EOE-4. Refer to Section A-12a. The Company provided a 2025 Balance Sheet and Profit and Loss report but failed to provide comparative information, as required. Please provide the omitted comparative (i.e., 2024) financial statements.

EOE-5. Refer to Section A-12b. The Company was asked to provide a copy of the Applicant's budgeted financial statements for the upcoming 12-month fiscal period; however, this information was not provided. Please provide.

EOE-6. Identify the Applicant's Connecticut-specific total projected revenue for the upcoming 12-month fiscal period. Additionally, identify the projected number of Connecticut based customers.

EOE-7. Refer to Section A-13. Please provide a copy of all decisions or orders of the agencies granting or denying the applicant a license, certificate, or the right to do business in any jurisdiction in any capacity. The list Aurora provided is not sufficient.

EOE-8. Refer to Section B-4. The Company did not provide responses for Section B-4. Please provide responses to all parts of Section B-4 of the Application including;

a. For every customer, does Aurora solicit bids from every supplier with which it has a contract?
b. If no to a, how does Aurora select which suppliers it will solicit?
c. If no to a, on average, from how many suppliers does Aurora solicit bids for each customer?
d. Does Aurora solicit bids from any supplier with whom it does not have a contract?
e. Please describe the process Aurora employs once it receives offers from suppliers for a particular customer, specifically noting if Aurora provides the

customers all offers or selects the one(s) it determines is best for the customer (if the latter, what factors does Aurora use to determine the "best" offer?).

f. Does Aurora note or in some way bring to the customer's attention its respective commission rates within the bid results provided to the customer? Please provide an example of the bid results provided to customers noting Aurora's commission rates, if applicable.
g. Copies of any contracts between the Applicant and electric suppliers.

EOE-9. Refer to Section B-4(b).

a. Does Aurora contract with agents and/or outside entities to solicit, enroll customers, and/or engage with customers?
b. If no to a, please describe who engages with customers on Aurora behalf.
c. Please describe in detail how Aurora monitors and trains the individuals engaging with customers on its behalf. Please include in the response any applicable training materials.

EOE-10. Refer to Section B-4. The Company indicated, "Aurora is a third-party energy consultant who contracts or is otherwise compensated by an electric supplier to broker electricity supply contracts."

a. Please explain in detail this statement, specifically indicating if the supplier is paying Aurora for its services to the supplier or if the supplier is passing through a fee paid by the customer and included in the customer's rate.
b. Please refer to Section B-4(g). Please provide all contracts Aurora has with suppliers.
c. Please provide examples of all types of contracts Aurora has with customers, and/or please provide examples of all communications Aurora has with customers in which it explains how it receives compensation and the amount of compensation it will receive.

EOE-11. Refer to Section C-2. This notice is required by Connecticut regulation. The regulation requires that the aggregator, not the supplier, send the notice in question (i.e., the notice cannot be part of the supplier's contract with the customer). Please provide a sample copy of the notice to be issued to aggregated customers ensuring that it contains the information listed in § 16-245-3(d) of the Regulations of the Connecticut State Agencies.