STATE OF CONNECTICUT
PUBLIC UTILITIES REGULATORY AUTHORITY

Application for a Connecticut Electric Aggregator Certificate of Registration

Attached is the Application for a Connecticut Electric Aggregator Certificate of Registration (Application). Filing instructions are included to assist the Applicant in the application process. Applicants are advised to consult the Decisions dated March 16, 2011 and March 30, 2011, in Docket No. 10-06-24, DPUC Review of the Current Status of the Competitive Supplier and Aggregator Market in Connecticut and Marketing Practices and Conduct of Participants in that Market, and the Ruling on Motion No. 16 in Docket No. 14-07-20RE01, for guidance on the proper conduct of aggregators.

As defined by C.G.S. §16-1(25)(A) an Electric Aggregator is a person, municipality or regional water authority that gathers together electric customers for the purpose of negotiating the purchase of electric generation services from an electric supplier. The Public Utilities Regulatory Authority has found that Aggregators "are the customers' agents. Aggregators' loyalty must lie with the customers, and as such, Aggregators may not represent or act as agents or representatives for any Suppliers at any time, or in any capacity. As required by statute, Aggregators must represent customers in negotiating the best electric generation price for those customers." March 16, 2011 Decision in Docket No. 10-06-24. **An Aggregator may not accept compensation from any Supplier or serve as an agent for any Supplier.** Therefore, persons who are agents, salespersons and any other persons who receive compensation from an Electric Supplier should not apply because they are not eligible to act as an Electric Aggregator.

When filing the Application, the following requirements apply:

- An electronic copy of the Application must be submitted via the Authority's Web Filing System. To file an Application electronically, please go to the Authority's website at portal.ct.gov/pura. Click the link '*Make a Filing*.' Follow the steps on this page to complete the filing process.

- A registration fee of $500 must be submitted via either a Wire or ACH payment to the Department of Energy and Environmental Protection. The notation on wire transfer should read "Aggregator App." Include in the filing a copy or receipt of the $500 non-refundable registration fee made out to the Treasurer of the State of Connecticut. Directions for making such an electronic payment are as follows:

  Wire To: Bank of America- Treasurer State of Connecticut
  ABA/Transit/Routing # 026009593
  Account # 1130477
  Beneficiary/ Descriptive Detail: DEP43000 & Invoice or Docket Number

  For ACH: Bank of America- Treasurer State of Connecticut
  ABA/Transit/Routing # 011900254
  Account# 1130477
  Beneficiary/ Descriptive Detail: DEP43000 & Invoice or Docket Number

- All attachments, including Exhibits and Affidavits, should be clearly identified. For example, Exhibit A-10 should be marked, “Exhibit A-10: Business Registration”. All pages should be numbered and attached in sequential order.

To view any filings made by Suppliers or Aggregators who have already been licensed by the Authority or for any other related documents or information related to Suppliers and Aggregators, please go to the Authority’s website at Information for Electric Suppliers and Aggregators.

Not more than 30 days after the Application is filed, the Authority will notify the Applicant in writing that the Application is complete and accepted, or that the Applicant must submit additional information. The Authority will issue its Decision regarding the Application after notice and a hearing (if required), no later than 90 days after the Application is deemed complete by the Authority.

If you have any questions about the Authority’s electronic filing requirements, please dial 860-827-1553 (press #3) or submit your question via e-mail at pura.executivesecretary@ct.gov. If you have any other questions regarding the application, please call the Authority’s Office of Education, Outreach, and Enforcement at 800-382-4586.

STATE OF CONNECTICUT
PUBLIC UTILITIES REGULATORY AUTHORITY

10 Franklin Square
New Britain, CT 06051
Phone: (860) 827-1553; Main Fax: (860) 827-2613
portal.ct.gov/pura

Application for a Connecticut Electric Aggregator Certificate of Registration

A. APPLICANT'S ORGANIZATION:

(A-1) Legal name of Applicant: Aurora Energy Advisors, LLC

(A-2) Applicant's headquarters: _____

Address: 27 Dawnwood Lane Sagaponack, NY 11962

Main Telephone: 877-695-1062 ***Fax:*** _____

Website: https://www.aeadvisorsllc.com

(A-3) Contact person for regulatory matters:

Name: Mitchell Ingerman

Address: 27 Dawnwood Lane Sagaponack, NY 11962

Direct Telephone: 212-742-0414 ***Fax:*** _____

E-mail address mingerman@aeadvisorsllc.com

(A-4) Has Applicant ever applied for a Connecticut Electric Aggregator License or Certificate of Registration?

☒ Yes If yes, under what Docket Number(s): 23-10-04

☐ No

(A-5) Applicant is a:

☐ Sole proprietorship ☐ Corporation

☐ General partnership ☐ Limited partnership

☐ Limited liability partnership ☒ Limited liability company

☐ Other: _____

(A-6) Applicant was organized or formed on: April 30th, 2007 (date) in: New York (state)

(A-7) Applicant's principal office in Connecticut:

Address: Vcorp - 67 Burnside Ave, East Hartford, CT 06108

Main Telephone: _____ ***Fax:*** _____

(A-8) Applicant's general contact information for customer service and complaints:

Name: Mitchell Ingerman

Address: 27 Dawnwood Lane Sagaponack, NY 11962

Direct Telephone: 212-742-0414 ***Fax:*** _____

E-mail address mingerman@aeadvisorsllc.com

(A-9) Exhibit A-9: "Director and Officer Information"

Provide a complete list identifying all of Applicant's officers, directors, partners or similar officers, including: (a) name; (b) job title; (c) business address and phone number; and (d) resume of each officer and director.

(A-10) Exhibit A-10: "Business Registration"

Provide at least one of the following:

(a) Certificate of Incorporation certified by the Connecticut Secretary of the State, including the Articles of Incorporation filed with the state or jurisdiction in which Applicant is incorporated, include any amendments and bylaws thereto;

(b) Certificate of Existence; or

(c) Any other business registration on file with the Connecticut Secretary of the State.

(A-11) Exhibit A-11: "Corporate Structure"

Provide a chart or similar graphical depiction of Applicant's entire corporate structure, clearly indicating the names and relationships of all affiliates. Additionally, identify any affiliates that are licensed electric suppliers in Connecticut. Affiliates would include all subsidiary, peer, or parent companies, as well as any entities with common ownership.

(A-12) Exhibit A-12: "Financial Statements"

Provide the following:

a) A copy of Applicant's most recent financial statements which should, at a minimum, include a comparative balance sheet, income statement, and notes to financial statements[1];

b) A copy of the Applicant's budgeted financial statements for the upcoming 12-month fiscal period;

c) A summary of the Applicant's projected fees for the upcoming 12-month fiscal period broken down by defined fee structures (e.g. monthly, quarterly, annual) in alignment with Applicant's response to B-4(d) below; and

d) If applicable, a detailed description of the Applicant's capital structure and how the Applicant would ensure that prepaid customer funds would be available in the event of service cancellations in alignment with Applicant's response to B-5 below.

(A-13) Is Applicant currently or has Applicant ever been an aggregator, in any state?

■ Yes If yes, provide **Exhibit A-13: "Operations in other States."** For each state in which Applicant currently operates or has previously operated, provide the following: (a) status of Applicant's operations (e.g., active, inactive, pending); (b) copy of all decisions or orders of the agencies granting or denying Applicant the authority to aggregate; (c) reasons for the cessation of Applicant's operations, if applicable; and (d) any other relevant information or materials.

[1]The comparative financial statements must include copies of the annual income statements for the two most recent years and include balance sheets as of the same year-end dates.

☐ No

(A-14) Is Applicant, any officer or any affiliate currently under investigation in any state?

☐ Yes If yes, provide **Exhibit A-14: "Investigation for Violation of Consumer Protection Law."** For each investigation, provide the following: (a) name of the state and agency conducting the investigation; (b) date on which investigation began; (c) description of the nature of the alleged violation; and (d) status of the investigation.

■ No

(A-15) Has Applicant, any officer or any affiliate ever been fined, sanctioned, or otherwise penalized?

☐ Yes If yes, provide **Exhibit A-15: "History of Penalties"** For each fine, sanction or penalty, provide all of the following: (a) date of the fine, sanction or penalty; (b) name of state and/or agency imposing the fine, sanction or penalty; (c) description of the violation; (d) description of the fine, sanction or penalty, including monetary amounts, if applicable; and (e) a copy of the order imposing the fine, sanction or penalty.

■ No

(A-16) Is Applicant currently an agent for and/or representing any electric suppliers?

☐ Yes

■ No

B. PROPOSED SCOPE OF SERVICE:

(B-1) Applicant proposes to serve the following geographic area(s):

■ The ENTIRE State of Connecticut

☐ Other:

(B-2) Type of customer(s) Applicant proposes to serve: *(check all that apply)*

☐ RESIDENTIAL

■ COMMERCIAL

■ INDUSTRIAL

☐ MUNICIPAL

☐ GOVERNMENTAL

☐ OTHER:

(B-3) Date(s) on which Applicant plans to begin operations in Connecticut: _____

(B-4) Exhibit B-4: "Electric Aggregation Program"

Provide a detailed statement or explanation regarding how Applicant intends to serve as an electric aggregator in this state. Such statement shall include but not limited to a detailed description of each of the following:

(a) Applicant's electric aggregation program;

(b) Applicant's reasoning for filing an application to be an aggregator. If any suppliers informed the Company that it had to file said application, provide all correspondence from all suppliers to that effect;

(c) Marketing methods Applicant intends to use, specifying who engages with customers on Applicant's behalf, if Applicant contracts with Agents and if applicable how Applicant monitors and trains the individuals engaging with customers on its behalf including applicable training materials;

(d) The requirements or obligations to be imposed on electric customers, including any membership or fee requirements;

(e) Applicant's membership fee structures and schedules, if any, including a copy of any contract issued to a customer;

(f) How Applicant intends to select electric suppliers for Applicant's electric customers;

(g) How Applicant will be compensated for Applicant's electric aggregation services and a description of such compensation or pricing structures or schedules, specifically indicating if Applicant will be compensated by customers, suppliers, and/or both;

(h) Copies of any contracts between the Applicant and electric suppliers;

(i) Copies of any marketing and/or training materials provided by an electric supplier to the Applicant; and

(i) A description of any other services that Applicant intends to offer Applicant's electric customers.

(B-5) Exhibit B-5: "Customer Termination"

Provide a detailed description of the following:

(a) Applicant's termination policy, including the grounds for and process by which customers can be terminated from Applicant's electric aggregation program; if an Early Termination Fee will be charged and in what circumstances; how the Early Termination Fee is calculated; and how customers are made aware of the Early Termination Fee.

(b) The process by which a dissatisfied customer can terminate their relationship with the Applicant, including any policies for refunds of fees or advance payments.

C. CONSUMER SERVICES:

(C-1) **Contact for Regulatory Complaints:** Provide the name, address, telephone number, fax number and e-mail address of the Applicant's/Company's employee that the Authority should contact regarding customer complaints.

Name: Mitchell Ingerman

Address: 27 Dawnwood Lane Sagaponack, NY 11962

Direct Telephone: 877-695-1062 ***Fax:*** _____

E-mail address mingerman@aeadvisorsllc.com

(C-2) Provide a sample copy of the notice to be issued to aggregated customers as required pursuant to §16-245-3(d) of the Regulations of Connecticut State Agencies.

(C-3) Reference Application **Exhibit B-4, "Electric Aggregation Program."** How does the Applicant intend to provide its aggregated customers with the Company's address, toll-free telephone number and all other customer service-related information?

D. AFFIDAVITS: (2 AFFIDAVITS ATTACHED BELOW)

AFFIDAVIT #1

"Veracity of Statements"

State of: Texas

ss. San Antonio (town)

County of: Bexar

Jared Ingerman (name), Affiant, being duly sworn/affirmed according to law,

deposes and says that he/she is the Director (title) of

Aurora Energy Advisors, LLC (company name) (Applicant) and that he/she is

authorized to make this affidavit for the Applicant;

That, Aurora Energy Advisors, LLC (applicant) certifies under penalty of law that

all statements made in this Application for certificate of registration are true and complete and that it will amend its Application while the Application is pending if any changes occur regarding the information provided in the Application, within ten days of any such change.

That the facts above set forth are true and correct to the best of his/her knowledge, information and belief and that he/she expects said Applicant to be able to prove the same.

Signature of Affiant

Sworn and subscribed before me this 7 day of July (month), 2026 (year).

Signature of Official Administering Oath

John Stricker, Notary Public
Printed Name and Title

My commission expires 02/23/2028 (for Notary Publics only)

JOHN STRICKER
Notary Public, State of Texas
Comm. Expires 02-23-2028
Notary ID 134777632

AFFIDAVIT #2

"National Labor Relations Act and CUTPA"

State of: Texas

ss. San Antonio
(town)

County of: Bexar

Jared Ingerman, Affiant, being duly sworn/affirmed according to law,
(name)

deposes and says that he/she is the Director of
(title)

Aurora Energy Advisors, LLC (Applicant) and that he/she is
(company name)

authorized to make this affidavit for the Applicant;

That, Aurora Energy Advisors, LLC affirms that it shall ensure that,
(Applicant)

where applicable, it complies with the National Labor Relations Act and regulations, if applicable, and it complies with the Connecticut Unfair Trade Practices Act and applicable regulations.

That the facts above set forth are true and correct to the best of his/her knowledge, information and belief and that he/she expects said Applicant to be able to prove the same.

Signature of Affiant

Sworn and subscribed before me this 7 day of July (month), 2026 (year)

Signature of Official Administering Oath

John Stricker, Notary public
Printed Name and Title

My commission expires 02/25/2028
(for Notary Publics only)

JOHN STRICKER
Notary Public, State of Texas
Comm. Expires 02-23-2028
Notary ID 134777632

PNC | PINACLE®

Quick Search by Trace ID

07/23/2026 02:00:02 pm EDT

Initiation Date: 07/23/2026

Account Summary	8615153798	CUSTOMIZED ENERGY SOLUTIONS**L	Amount	Count
		Total Debits :	**500.00 USD**	**1**

Account	Trace ID	Template ID	Template Name	Type	Value / Send Date	Beneficiary	Amount	Status	Fed Ref No.
8615153798	202607230769			Domestic	07/23/2026	Treasurer State of Connecticut	500.00 USD	Confirmed	001421

Beneficiary Bank
ID: 026009593
Name: BANK OF AMERICA, N.A., NY
Address: NEW YORK,NY

Bank Reference Number
QA7ND532946Q2V4E

External Reference Number
202607230222P0001421

Beneficiary Information
Account: 1130477

OBI: DEP43000 & Aurora Energy Advisors, LLC Registration

Audit History
Initiated by: Sharon Barra 07/23/2026 01:52 PM ET
Approved by: TBD TBD 07/23/2026 01:53 PM ET
Received by: BANK 07/23/2026 01:53 PM ET
Confirmed by: BANK 07/23/2026 01:53 PM ET

Grand Totals		Count
Total Debits :	**500.00 USD**	**1**

Exhibit A-9

Director and Officer Information

1. **Mitchell Ingerman**
   President and Partner
   Aurora Energy Advisors, LLC
   27 Dawnwood Lane
   Sagaponack, NY 11962

Exhibit A-10

Business Registration

Secretary of the State of Connecticut
Foreign Registration Statement

Foreign Limited Liability Company

Filing Details

Filing Number: 0011983005 Filed On: 9/19/2023 8:39:28 AM

Primary Details

Name of Limited Liability Company in State or Country of Formation:
Aurora Energy Advisors, LLC

Business ALEI:	US-CT.BER:2859178
Business Email Address:	mingerman@aeadvisorsllc.com
NAICS Information:	Other Scientific and Technical Consulting Services (541690)
State/Country of Formation:	NEW YORK
Date of Formation:	05/11/2007
Date Limited Liability Company Began/Will Transacting Business in Connecticut:	10/01/2023

Business Location

Principal Office Address:	67 Burnside Ave, East Hartford, CT, 06108-3408, United States
Principal Office Mailing Address:	27 Dawnwood Lane, SAGAPONACK, NY, 11962, United States
Office Address in State of Formation:	27 Dawnwood Lane, Sagaponack, NY, 11962, United States
Mailing Address in State of Formation:	27 Dawnwood Lane, SAGAPONACK, NY, 11962, United States

Appointment of Registered Agent

Type:	Business
Agent's Name:	VCORP AGENT SERVICES, INC.
Agent's ALEI:	US-CT.BER:2643734
Business Address:	67 Burnside Ave, East Hartford, CT, 06108-3408, United States
Mailing Address:	67 Burnside Ave, East Hartford, CT, 06108-3408, United States

Agent Appointment Acceptance

Secretary of the State of Connecticut
Foreign Registration Statement

Foreign Limited Liability Company

Agent Signature: Anthony Palazzo
Title: Assistant Secretary
This signature has been executed electronically

Manager or Member Information

Name	*Title*	*Business Address*	*Residence Address*
Mitchell Ingerman	President	N/A	27 Dawnwood Lane, Sagaponack, NY, 11962, United States

Acknowledgement

I hereby certify and state under penalties of false statement that all the information set forth on this document is true.

I hereby electronically sign this document on behalf of:
Name of Signatory: Mitchell Ingerman - President
Signatory Title: N/A

Filer Name: Mitchell Ingerman
Filer Signature: Mitchell Ingerman
Execution Date: 09/19/2023
This signature has been executed electronically

CT-07

070511000 405

New York State
Department of State
Division of Corporations, State Records
and Uniform Commercial Code
41 State Street
Albany, NY 12231
www.dos.state.ny.us

ARTICLES OF ORGANIZATION

OF

AURORA ENERGY ADVISORS, LLC

(Insert name of Limited Liability Company)

Under Section 203 of the Limited Liability Company Law

FIRST: The name of the limited liability company is AURORA ENERGY ADVISORS, LLC.

SECOND: The county within this state in which the office of the limited liability company is to be located: New York.

THIRD: The Secretary of State is designated as agent of the limited liability company upon whom process against it may be served. The post office address within or without this state to which the Secretary of State shall mail a copy of any process against the limited liability company served upon him or her is: SILVERMAN SCLAR SHIN & BYRNE, PLLC, 381 Park Avenue South, Suite 1601, New York, New York 10016.

Barbara J. Zimmerman
(Signature of Organizer)

Barbara J. Zimmerman, Organizer
(Print or Type Name of Organizer)

Dated: April 30, 2007
New York, New York

CT-07

070511000 405

ARTICLES OF ORGANIZATION

OF

AURORA ENERGY ADVISORS, LLC
(Insert name of Limited Liability Company)

Under Section 203 of the Limited Liability Company Law

2007 MAY 11 PM 1:00

Filed by: Barbara J. Zimmerman, Paralegal
SILVERMAN SCLAR SHIN & BYRNE, PLLC
381 Park Avenue South
Suite 1601
New York, New York 10016
CST ref #: 6916552CS

ICE
STATE OF NEW YORK
DEPARTMENT OF STATE
FILED MAY 11 2007
TAX $
BY:

DRAWDOWN

432

2007 MAY 10 PM 4:08
RECEIVED

N. Y. S. DEPARTMENT OF STATE
DIVISION OF CORPORATIONS AND STATE RECORDS ALBANY, NY 12231-0001

FILING RECEIPT

ENTITY NAME: AURORA ENERGY ADVISORS, LLC

DOCUMENT TYPE: ARTICLES OF ORGANIZATION (DOM LLC) COUNTY: NEWY

SERVICE COMPANY: CT CORPORATION SYSTEM - 07 SERVICE CODE: 07 *

FILED:05/11/2007 DURATION:********* CASH#:070511000432 FILM #:070511000405

ADDRESS FOR PROCESS	EXIST DATE
SILVERMAN SCLAR SHIN & BYRNE, PLLC 381 PARK AVENUE SUITE 1601 NEW YORK, NY 10016	05/11/2007

REGISTERED AGENT

FILER	FEES	260.00	PAYMENTS	260.00
	FILING	200.00	CASH	0.00
	TAX	0.00	CHECK	0.00
BARBARA J. ZIMMERMAN, PARALEGAL	CERT	0.00	CHARGE	0.00
SILVERMAN SCLAR SHIN& BYRNE, PLLC	COPIES	10.00	DRAWDOWN	260.00
381 PARK AVENUE SOUTH SUITE 1601	HANDLING	50.00	OPAL	0.00
NEW YORK, NY 10016			REFUND	0.00

6916552CS DOS-1025 (11/89)

State of New York
Department of State } ss:

I hereby certify, that AURORA ENERGY ADVISORS, LLC a NEW YORK Limited Liability Company filed Articles of Organization pursuant to the Limited Liability Company Law on 05/11/2007, and that the Limited Liability Company is existing so far as shown by the records of the Department.



***

WITNESS my hand and the official seal of the Department of State at the City of Albany, this 14th day of June two thousand and eighteen.

Brendan W. Fitzgerald
Executive Deputy Secretary of State

201806150198 150

Exhibit A-11

Corporate Structure

Corporate Structure

Aurora Energy Advisors, LLC is a privately-held limited liability company with no affiliates or subsidiaries.

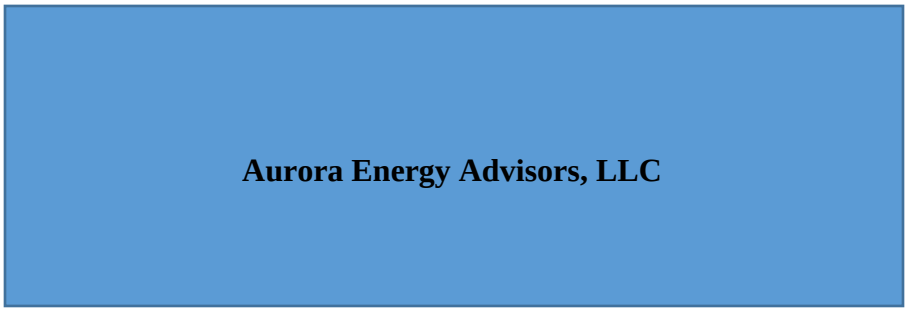

Exhibit A-12

Financial Statements

Balance Sheet

Aurora Energy Advisors LLC

As of December 31, 2025

DISTRIBUTION ACCOUNT	TOTAL
Assets	
Current Assets	
Bank Accounts	
10001 Checking 1856	5,253.01
10005 MISB Holding LLC 2067	418.52
10007 Capital One Savings 4592	501.06
10010 Transfer & Exchange	0.00
Petty Cash	1,012.75
PPP Loan Account 1656	0.00
Temporary	0.00
Total for Bank Accounts	**$7,185.34**
Accounts Receivable	
11000 Accounts Receivable	0.00
Total for Accounts Receivable	**$0.00**
Other Current Assets	
12000 Undeposited Funds	0.00
Employee loan	0.00
Loan-F. Brecher 2012 Family Trust	0.00
Loan Recievable Metropolitan	0.00
Loan to Melissa Jimenez due 2022	10,000.00
Loan to M. Ingerman	$0.00
Loans To M Ingerman	0.00
Total for Loan to M. Ingerman	**$0.00**
Loan to S. Brecher	0.00
Prepaid payroll	0.00
Prepaid payroll taxes	0.00
suspense	0.00
UBT Taxes	0.00
Total for Other Current Assets	**$10,000.00**
Total for Current Assets	**$17,185.34**
Fixed Assets	
Fixed Assets	
Accumulated Depreciation	-47,649.71
Equipment	16,310.89
Furniture and Fixtures	26,192.75
Improvements	32,322.59
Total for Fixed Assets	**$27,176.52**

Balance Sheet

Aurora Energy Advisors LLC

As of December 31, 2025

DISTRIBUTION ACCOUNT	TOTAL
Other Fixed Assets	
Accumulated Amortization	-28,950.00
software	0.00
Website	28,950.00
Total for Other Fixed Assets	**$0.00**
Total for Fixed Assets	**$27,176.52**
Other Assets	
Security deposit-Acadia 3780-3858 Nostrand Ave LLC	0.00
Security deposit-Con Edison	0.00
Security deposit National grid	90.00
Total for Other Assets	**$90.00**
Total for Assets	**$44,451.86**
Liabilities and Equity	
Liabilities	
Current Liabilities	
Accounts Payable	
20000 Accounts Payable	0.00
Total for Accounts Payable	**$0.00**
Credit Cards	
American Express	0.00
Capital One CC 5729 (formerly 9747)	3,789.23
Capital One CC 6147	64,103.62
Total for Credit Cards	**$67,892.85**
Other Current Liabilities	
24000 Payroll Liabilities	$0.00
24001 Net Payroll	$13,340.96
401(k)(D)	0.00
Loan Repayment	0.11
ROTH 401(k) (AA)	0.00
Total for 24001 Net Payroll	**$13,341.07**
24010 940 payable	9.00
24020 941 payable	0.00
24030 SWT payable	0.00
24031 UI	523.90
24032 RE	19.40
24040 NYC Withholding	0.00
24045 NY MCTMT TD MSC	0.00
24050 Disability Payable	0.00
Payroll payable	0.00

Balance Sheet

Aurora Energy Advisors LLC

As of December 31, 2025

DISTRIBUTION ACCOUNT	TOTAL
Total for 24000 Payroll Liabilities	**$13,893.37**
Distribution payable	0.00
Filing fee payable	0.00
PPP Loan	0.00
Total for Other Current Liabilities	**$13,893.37**
Total for Current Liabilities	**$81,786.22**
Total for Liabilities	**$81,786.22**
Equity	
30700 Member's Draw	0.00
Brecher Estate Equity	$529,794.99
Capital-Shimon Brecher	32,763.01
Credit Shelter Trust	-339,828.03
Draw-Shimon Brecher	-381,194.67
Simon Brecher Estate	-447,592.60
Total for Brecher Estate Equity	**-$606,057.30**
FB 2012 Trust Holdings II, LLC Equity	$5,666,333.63
Capital-F. Brecher 2012 Family Trust	2,682,760.14
Draw-F. Brecher 2012 Family Trust	-2,532,952.54
FB 2012 Trust	-12,132,152.37
Total for FB 2012 Trust Holdings II, LLC Equity	**-$6,316,011.14**
Ingerman Equity	$5,836,628.00
Bonus -Guaranteed Payment	-1,712,286.08
Capital-Ingerman	3,500,727.87
Draw-Ingerman	-2,794,200.00
Mitchell Ingerman - Distribution	-12,001,169.51
Total for Ingerman Equity	**-$7,170,299.72**
Members' Draw	0.00
Retained Earnings	0.00
32000 Member's capital	6,755,004.38
Net Income	7,300,029.42
Total for Equity	**-$37,334.36**
Total for Liabilities and Equity	**$44,451.86**

Profit and Loss

Aurora Energy Advisors LLC

January-December, 2025

DISTRIBUTION ACCOUNT	TOTAL
Income	
Benchmarking Fee	239,990.00
Engineering Fee Income	483,734.96
Fee Income	13,091,193.43
IOD Interest	0.48
LL84 Income	939,146.41
Retainer Income	529,533.04
Sustainability	1,266,559.87
Total for Income	**$16,550,158.19**
Cost of Goods Sold	
Management Companies Fees	3,367,739.80
Sustainability Revenue Sharing	73,551.00
Total for Cost of Goods Sold	**$3,441,290.80**
Gross Profit	**$13,108,867.39**
Expenses	
60000 Advertising and Promotion	1,244.14
60200 Automobile Expense	$8,808.83
Auto Insurance	8,520.08
Gas	2,375.52
Lease	34,764.12
Parking	8,914.13
Tolls	1,973.50
Total for 60200 Automobile Expense	**$65,356.18**
60400 Bank Service Charges	4,711.48
62500 Dues and Subscriptions	1,568.86
63300 Insurance Expense	$1,656.00
63320 Business Owners	1,532.00
63325 Employment Practices Liability	1,321.34
63330 Disability Insurance	16,337.11
63340 Paid Family Leave	-3,753.79
63350 Professional Liability	24,166.82
63355 Employment Practices Liabilities	3,964.03
63360 Worker's Compensation	5,128.00

Profit and Loss

Aurora Energy Advisors LLC

January-December, 2025

DISTRIBUTION ACCOUNT	TOTAL
Health	
Berge-Yedin	13,222.13
Blackburn	4,389.64
Burns Shannon	13,222.13
Capobianco	283.02
Edelstein, Jonathan	1,097.41
Esipova, Yulia	13,222.13
Ingerman, Jared	53,209.15
Ingerman, Sari	42,061.84
Kopel, Dustin	3,273.75
Liberman, Ali	1,145.01
Officer -Ingerman, M	12,628.85
Pisman Aleksandr	13,222.13
Roth, M	12,708.32
Samarro, K	4,442.85
Sean Stettin	13,222.13
Stettin, Matthew	13,222.13
Weitz, Joseph	67,531.27
Total for Health	**$282,103.89**
Total for 63300 Insurance Expense	**$332,455.40**
64300 Meals and Entertainment	
Entertainment	83,026.94
Meals	37,768.13
Total for 64300 Meals and Entertainment	**$120,795.07**
64900 Office Expense	$6,873.40
De Minimus Aquistion	11,412.61
Office lunch	2.44
Supplies	20,377.60
Total for 64900 Office Expense	**$38,666.05**
66500 Postage and Delivery	1,235.15
66700 Professional Fees	$1,600.00
Accounting	46,763.40
Bookkeeping	12,000.00
Consulting	$2,500.00
Sustainability Revenue	102,002.00
Total for Consulting	**$104,502.00**
Payroll Fees	2,972.46
Progamming	94,658.00
Retirement Plan	3,983.00
Total for 66700 Professional Fees	**$266,478.86**

Profit and Loss

Aurora Energy Advisors LLC

January-December, 2025

DISTRIBUTION ACCOUNT	TOTAL
68100 Telephone Expense	
Land Line	
Ringcentral	11,173.78
Verizon	6,852.29
Total for Land Line	**$18,026.07**
Wireless	
Cell Phone	8,576.32
Total for Wireless	**$8,576.32**
Total for 68100 Telephone Expense	**$26,602.39**
68400 Travel Expense	$14,842.81
Taxi	28,893.77
Total for 68400 Travel Expense	**$43,736.58**
Computer & Internet expenses	$598.33
61700 Internet Expenses	$8.71
Cablevision	8,182.78
Constant Contact	1,105.86
Norton	163.75
Rackspace	2,611.90
Total for 61700 Internet Expenses	**$12,073.00**
Computer expenses	
Computer IT	22,924.74
Computer Software	6,595.50
Website Expense	3,550.02
Total for Computer expenses	**$33,070.26**
Total for Computer & Internet expenses	**$45,741.59**
Legal	29,156.16
Licenses and Fees	200.28
Licensing	$33,559.44
Surety Bond	600.00
Total for Licensing	**$34,159.44**
National Grid Split Service Cost	252,966.00
National Services Expense	21,822.43
NYS IT-204-LL	3,000.00
Payroll Expenses	$202.68
60001 Gross Payroll	$3,268,114.18
Net Payroll	13,340.96
Total for 60001 Gross Payroll	**$3,281,455.14**
69000 Gross Employer Taxes	180,403.37
Guaranteed Payments- Ingerman	500,000.04
Total for Payroll Expenses	**$3,962,061.23**

Profit and Loss

Aurora Energy Advisors LLC

January-December, 2025

DISTRIBUTION ACCOUNT	TOTAL
PTET Tax	410,000.00
Safe Harbor Contribution	15,945.00
UBT Tax	96,740.00
Total for Expenses	**$5,774,642.29**
Net Operating Income	**$7,334,225.10**
Other Expenses	
Charity	2,137.50
ND Fringe Benefit	32,058.18
Total for Other Expenses	**$34,195.68**
Net Other Income	**-$34,195.68**
Net Income	**$7,300,029.42**

Exhibit A-13

Operations in Other States

State	Commodit	License Number	Active Date
DC	EL	EA 2018-18	12/21/2018
DC	NG	GA 2018-13	12/21/2018
DE	EL	18-1158	4/2/2019
IL	EL	17-0262	5/30/2017
MA	EL	EB-415	7/25/2017
MA	NG	RA-183	7/25/2017
MD	EL	IR-3923	8/2/2017
MD	NG	IR-3924	8/2/2017
ME	EL	Docket No. 2018-00262	10/11/2018
NJ	EL	EA-0395	12/6/2017
OH	EL	16-2331-EL-AGG	1/12/2017
OH	NG	16-2286-GAAGG	1/12/2017
PA	EL	A-2017-2613121	9/21/2017
PA	NG	A-2017-2629492	12/21/2017
TX	EL	49779	9/6/2019

Exhibit B-4

Electric Aggregation Program

Aurora Energy Advisors, LLC (“Aurora”) is a third-party energy consultant who contracts or is otherwise compensated by an electric supplier to broker electricity supply contracts. Aurora conducts a Request for Proposal (RFP) compiling the best price and agreements of its partner electric suppliers. It then presents the RFP results to its clients to assist in energy portfolios decisions.

Aurora is not an electric aggregator that gathers electric customers for the purpose of negotiating the purchase of electric generation services for an electric supplier. Therefore, Aurora does not have an electric aggregation program.

Exhibit B-5

Customer Termination

Aurora is a third-party energy consultant who contracts or is otherwise compensated by an electric supplier to broker electricity supply contracts. It does not have the power to terminate a customer and therefore, does not have a customer termination policy.

Exhibit C-2

Sample Copy of Notice

Aurora is a third-party energy consultant who contracts or is otherwise compensated by an electric supplier to broker electricity supply contracts. It does not have aggregated customers and therefore does not have a standard notice.

Exhibit C-3

Customer Service-Related Information

Aurora is a third-party energy consultant who contracts or is otherwise compensated by an electric supplier to broker electricity supply contracts. It does not have aggregated customers. It provides its clients with its contact and customer service-related information via its website and email exchange.